

III Semester M.Com. Examination, January 2018 (CBCS) COMMERCE

Paper - 3.2 FB: Financial Markets

Time: 3 Hours

Max. Marks: 70

SECTION - A

- 1. Answer any seven of the sub-questions. Each question carries 2 marks. (7x2=14)
 - a) How does FPO differs from IPO?
 - b) What is meant by Book building?
 - c) What do you understand by NOW with respect to NSE?
 - d) What is SAMURAI Bond?
 - e) Give the trading timings of BSE Ltd.
 - f) Give the meaning of Insider trading.
 - g) What is meant by Green Shoe option?
 - h) Name participants in international financial markets.
 - i) What is meant by circuit breaker?
 - j) Give the 'percentage promoters' contribution in an IPO.

SECTION-B

Answer any four questions, each question carries 5 marks.

 $(4 \times 5 = 20)$

- 2. Explain various methods of selling securities.
- 3. Who is a registrar to issue? Bringout the functions of registrar to an issue.
- 4. Write a note on OTCEI.
- What are Global depositor receipts? Who do firms go for international financial markets to raise funds.
- 6. Bringout the details given in prospectus of a public issue.
- 7. Explain the trading mechanism of securities in BSE Ltd.

P.T.O.



SECTION - C

Answer any three questions. Each question carries 12 marks.

(3×12=36)

- 8. "Efficient management of public issue depends on Merchant banker". Do you agree ? Justify your answer.
- 9. Bringout the various investors protection measures taken by SEBI.
- 10. "There are various guidelines for raising funds in international markets through various instruments". Explain.
- 11. Briefly discuss the working of International stock exchanges.
- 12. Answer the following:
 - 1) Pricing of New Issues.
 - 2) Screen based trading.